JC14 Rec'd PCT/PTO 3 0 NOV 2001

EXPRESS MAIL LABEL NO.: EL651341416US DATE: November 30, 2001

FORM PTO 1 (REV 11-200)		MENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER					
		ER TO THE UNITED STATES	SONYJP-151					
DESIGNATED/ELECTED OFFICE (DO/EO/US) US APPLICATION NO TRANSPORTED TO THE PROPERTY OF THE PRO								
		ING UNDER 35 U.S.C. 371	077700272					
INTERN.	ATIONAL APPLICATION		PRIORITY DATE CLAIMED					
TITLEO	PCT/JP01/02963	5 April 2001	6 April 2000					
TITLE OF INVENTION INFORMATION RECORDING/PLAYBACK APPARATUS AND METHOD								
APPLICANT(S) FOR DO/EO/US Tomoyuki Asano, Yoshitomo Osawa, Ryuji Ishiguro, Atsushi Mitsuzawa and Tateo Oishi								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.								
3. x								
include items (5), (6), (9) and (21) indicated below.								
4.	4. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).							
5. x	x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))							
a. {	is attached hereto (requi	red only if not communicated by the Internati	onal Bureau).					
Ь. [x has been communicated	by the International Bureau.	Ì					
c.	is not required, as the ap	plication was filed in the United States Recei	ving Office (RO/US).					
6. x	6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).							
а. [x is attached hereto.							
b. [has been previously sub	mitted under 35 U.S.C. 154(d)(4).						
7 x	Amendments to the claims of	f the International Application under PCT Ar	ticle 19 (35 U.S.C. 371 (c)(3))					
а.	are attached hereto (requ	ared only if not communicated by the Internat	tional Bureau).					
b. [
с. [have not been made; how	wever, the time limit for making such amendm	ents has NOT expired.					
d.	x have not been made and	will not be made.						
8.	An English language transla	tion of the amendments to the claims under Po	CT Article 19 (35 U.S.C. 371 (c)(3)).					
		e inventor(s) (35 U.S.C. 371 (c)(4)). (unexecu						
10.	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).							
Items 11	to 20 below concern docum	ent(s) or information included:	[
11. x	An Information Disclosure S	statement under 37 CFR 1.97 and 1.98, w/PTC	O-1449, 10 references					
12.	An assignment document for	recording. A separate cover sheet in complia	nce with 37 CFR 3.28 and 3.31 is included.					
13.	A FIRST preliminary amendment.							
14.	A SECOND or SUBSEQUENT preliminary amendment.							
15.	A substitute specification.							
16	A change of power of attorney and/or address letter.							
17.	A computer-readable form o	f the sequence listing in accordance with PCT	Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.					
18.	A second copy of the publish	ned international application under 35 U.S.C.	154(d)(4).					
19.	A second copy of the English	language translation of the international app	lication under 35 U.S.C. 154(d)(4).					
20. x	Other items or information:	Copy of PCT Request (in Japanese), Forty -T Copy of Face page of published application	hree (43) Sheets of Formal Drawings,					
orm PTO-1390 (Rev 10-96)								
	(Page 1 of 2					

U S APPLICATION NO (1/known									
	ATTORNEY'S DOCKET NUM	ATTORNEY'S DOCKET NUMBER							
119/3	SONYJP-151								
21. x The following	CALCULATIONS	PTO USE ONLY							
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):									
Neither international preliminary examination fee (37 CFR 1 482) nor international search fee (37 CFR 1 445(a)(2)) paid to USPTO									
and International Sea									
x International prelimi USPTO but Internati	İ								
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but all claims did not									
International prelimi and all claims satisfic									
ENTER	\$ 890.00								
Surcharge of \$		the oath or declaration		s					
20 30 months	.492 (e))	 *]						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	58-20 =	38	x 18.00	\$ 684.00					
Independent claims	9-3 =	6	x 84.00	\$ 504.00					
MULTIPLE DEPENDE		COF ABOVE CALC	+	\$ 1.394.00	1				
Applicant claims sr	nall entity status. See 3	7 CFR 1.27. The fees	indicated above						
are reduced by 1/2.	·			\$					
		s	UBTOTAL =	\$ 1,394.00					
Processing fee of \$_		shing the English transla		s					
20 30 months	s from the earliest claime	ed priority date (37 CFR	1.492 (f)). +	*	j				
	· · · · · · · · · · · · · · · · · · ·	TOTAL NATIO	ONAL FEE =	\$ 1,394.00	1				
Fee for recording the enc	losed assignment (37 C	FR 1.21 (h)). Assignn	nent		 				
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must be accompanied by			+	L					
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